

06-20-01

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

U.S. PTO  
 09/884379  
 06/19/01

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: METHOD FOR MONITORING AND RESTRICTING ONLINE PURCHASES  
 For: CHRISTOPHER JOHN KIMBLE

Enclosed are:

- ☒ 19 sheets of specification and 1 abstract  
☒ 3 sheets of drawings  
☒ A Declaration and Power of Attorney  
☒ An Information Disclosure Statement and form PTO-1449  
☐ A certified copy of a \_\_\_ application  
☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$710.00
Total Claims	20 -20 =	0	x \$18.00=	\$
Indep. Claims	3 -3 =	0	x \$80.00=	\$
<input type="checkbox"/> Multiple Dependent Claim Presented			\$260.00	\$ 0.00
TOTAL				\$ 710.00

## EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL849146475US  
 Date: June 19, 2001

I hereby certify that the enclosed or attached paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Gero G. McClellan*  
 Gero G. McClellan


Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$710.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Date: June 19, 2001

By

  
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UNITED STATES PATENT APPLICATION FOR:

METHOD FOR MONITORING AND RESTRICTING ONLINE PURCHASES

INVENTORS:

CHRISTOPHER JOHN KIMBLE

ATTORNEY DOCKET NUMBER: ROC920010072US1

CERTIFICATION OF MAILING UNDER 37 C.F.R. 1.10

I hereby certify that this New Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service on June 19, 2001, in an envelope marked as "Express Mail United States Postal Service", Mailing Label No. EL849146475US, addressed to: Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

  
Signature

Gero G. McClellan  
Name

June 19, 2001  
Date of signature

1008475US-061001